

The Trust and Fiduciary Compliance Checklist is intended to outline key regulatory considerations, guidance and best practices but is not all inclusive. Some content may not be suitable for all banks and all Trust Departments or its activities. If statutes, regulations, or other regulatory guidance is referenced herein, please consult those sources to determine applicability to your bank. Many citations noted are specific to OCC regulated banks. FDIC and other rules are noted where applicable and/or where OCC resources do not address checklist items. Readers are strongly recommended to make a determination as to which rules and guidance apply to their institution's Trust operations, based on its charter and supervisory authority. This document is not a substitute for legal guidance.

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